

BROWN RAYSMAN

BROWN RAYSMAN MILLSTEIN FELDER & STEINER

FACSIMILE COVER SHEET

From: M. J. Marquardt Date: October 29, 2003
Direct Dial: 212-895-2906 Client/Matter #: 3524/77

PLEASE DELIVER AS SOON AS POSSIBLE TO:

	Recipient	Company	Fax No.	Phone No.
1.	Examiner Charles Kyle	USPTO - Group 3624	(703) 305-7687	(703) 305-4458

Total number of pages including this page: 12.
If you do not receive all the pages, please call (212) 895-2906.

Message:**URGENT**

Re: Application of: Weston et al.
Serial No.: 09/476,935
Filed: December 30, 1999
Title: System and Method for Implementing Foreign Exchange Currency
Forwards

Examiner Kyle - as we discussed, here is a copy of our response to the office action of 2/27/03, as faxed on August 26, 2003, with certification and auto-reply acknowledgement.

Thanks for your help. If you have any questions, please call me at the number above.

Matthew J. Marquardt
Reg. No. 40,997

**RECEIVED
CENTRAL FAX CENTER**

OCT 29 2003

OFFICIAL

PLEASE NOTE: THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS PRIVILEGED AND CONFIDENTIAL, AND IS INTENDED ONLY FOR USE OF THE INDIVIDUAL NAMED ABOVE AND OTHERS WHO HAVE BEEN SPECIFICALLY AUTHORIZED TO RECEIVE IT. IF YOU ARE NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, OR IF ANY PROBLEMS OCCUR WITH TRANSMISSION, PLEASE NOTIFY SENDER BY TELEPHONE: THANK YOU.

BROWN RAYSMAN

BROWN RAYSMAN MILLSTEIN FELDER & STEINER LLP

FACSIMILE COVER SHEET

From:	M. J. Marquardt	Date:	August 26, 2003
Direct Dial:	212-895-2906	Client/Matter #:	3524/5

PLEASE DELIVER AS SOON AS POSSIBLE TO:

	Recipient	Company	Fax No.	Phone No.
1.	Examiner Charles Kyle	U.S. Patent and Trademark Office – Group 3624	703-872-9326	

Total number of pages including this page: **9**.If you do not receive all the pages, please call **(212) 895-2000**.

Message:

Re:	Application of:	Weston et al.
	Serial No.:	09/476,935
	Filed:	December 30, 1999
	Title:	System and Method for Implementing Foreign Exchange Currency Forwards

Please Note: the information contained in this facsimile message is privileged and confidential, and is intended only for use of the individual named above and others who have been specifically authorized to receive it. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, or if any problems occur with transmission, please notify sender or the mail room by telephone: (212) 895-2000. Thank You.

BROWN RAYSMAN MILLSTEIN FELDER & STEINER LLP

900 THIRD AVE NY NY 10022 T 212-895-2000 F 212 895-2900 brownraysman.com

BRMFS1 427600v1

Received from <2128952900> at 10/29/03 1:49:25 PM [Eastern Standard Time]

PATENT
Attorney Docket No.: 3524/5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Weston et al.

Serial No: 09/476,935

Filed: December 30, 1999

For: System and Method for Implementing
Foreign Exchange Currency Forwards

Art Unit: 3624

Examiner: Charles Kyle

CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on August 26, 2003:

1. Transmittal Form (1 page);
2. Response to Office Action (4 pages);
3. Petition for a Three-Month Extension of Time (1 page); and
4. Fee Transmittal (1 page).

Tabitha Crosier

Tabitha Crosier

RECEIVED
CENTRAL FAX CENTER

OCT 29 2003

OFFICIAL

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/476,935
	Filing Date	December 30, 1999
	First Named Inventor	Weston
	Group Art Unit	3624
	Examiner Name	Charles Kyle
Total Number of Pages in This Submission	Attorney Docket Number	3524/5

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration for Utility or Design Patent Application <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Certificate of Facsimile Transmission
Remarks		Customer No 29858

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Matthew J. Marquardt, Reg. No. 40,997
Signature	<i>Matthew J. Marquardt</i> 26,543
Date	August 26, 2003

CERTIFICATE OF FACSIMILE TRANSMISSION			
I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, 703-872-9326 on this date: August 26, 2003			
Typed or printed name	Tabitha Crosier		
Signature	<i>Tabitha Crosier</i>	Date	August 26, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

BRMFS1 427358v1